

## Designing an Integral LQR Controller for DC-DC X-Converter based on Enhanced Shuffled Frog- Leaping Optimization Algorithm

Power Electronics DC-DC converter plays an important role in different applications, for example, electric vehicles, wind generation and PV systems. This paper addresses the design of DC-DC X-converter with optimal LQR controller combined with integral gain action. Therefore, the proposed controlling scheme for DC-DC converter is very important to make the system stable under different conditions. In order to achieve high performance for DC-DC converter system, the current controller was made first as an inner loop and then an output voltage controller was designed as an outer loop. The behavior of an LQR controller design with integral action is characterized by two parameters: state and control weighting matrices. The problem of finding the semi-optimum weighting matrices has been formulated as an optimization problem. Standard Shuffled Frog-Leaping (SSFL) optimization algorithm is introduced to optimize the selection of the controller's weighting matrices. Furthermore, an Enhanced SFL Optimization Algorithm (ESFLA) is proposed to improve the stability performance of the considered system. The performances of both SSFLA and ESFLA are evaluated in terms of speed of convergence to the global optimum and algorithm accuracy, based on a set of ten benchmark test functions. The simulation results demonstrate that the DC-DC X-converter based on LQR with integral gain tuned by ESFLA outperforms other designs incorporating SSFLA in terms of control effort, stability performance as well as transient response specifications.

**Keywords-** DC-DC X-converter, LQR, SSFLA, ESFLA, Test functions.

**Article history:** Received 12 September 2019, Accepted 4 May 2020

### 1. Introduction

Recently, power electronics DC-DC converter has been used in PV applications, wind energy, hybrid-electric vehicle, water pump application and any application that requires output voltage regulation. The efficiency of DC-DC converter is significantly important [1]. X-converter is a combination of buck boost DC-DC converter and this modified converter is like SEPIC, ZETA and CUK converters and have four passive components [2]. Fourth order X-Converter output voltage can be higher or lower than the input voltage, knowing that the output voltage will be negative. The controller of DC - DC X-converter is significantly important to obtain a fixed output voltage for loads under different disturbances. Furthermore, X-converter should operate in the stable region under all operating conditions [1, 2].

Several research works have been carried out for controller design of DC-DC converter based on evolutionary and swarm intelligence (SI) algorithms. In [3] parameters tuning methods based on genetic algorithm (GA) have presented to obtain the system element values for the buck DC-DC converter to minimize the variations in the output voltage under different load conditions. The authors in [4] designed pole placement controller to reduce the voltage ripple of CUK converter using GA and particle swarm optimization (PSO) for adjusting the

\* Corresponding author: Nizar Hadi Abbas, Department of Electrical Engineering, College of Engineering, University of Baghdad, Iraq, E-mail: [dr.nizar.hadi@coeng.uobaghdad.edu.iq](mailto:dr.nizar.hadi@coeng.uobaghdad.edu.iq).

<sup>1</sup> Department of Electrical Engineering, College of Engineering, University of Baghdad, Iraq.

coefficients of the pole placement technique. In [5], PID controller parameters have estimated using GA and bacterial foraging optimization algorithm (BFOA) for the boost converter to improve the performance and observe the time-domain specifications.

The classical tuning approaches of linear quadratic regulator (LQR) are time-consuming and do not guarantee the desired performance. Furthermore, these techniques are only directed to minimize the quadratic objective function and do not consider other control objectives such as improving the time-domain features. In order to improve the accuracy, design criterion and performance of the system, and overcome the drawbacks of classical schemes, bio-inspired optimization paradigms have been introduced. This swarm intelligence based technique is capable of reaching the globally optimal solution within a few numbers of iterations [6]. The main goal of all nature-inspired optimization algorithms is to balance the ability of exploration and exploitation efficiently to find the global optimum [7].

In this paper, shuffled frog-leaping algorithm (SFLA) is presented to tune the LQR with integral action controller. In [8], SFLA technique was used for buck DC –DC Converter to optimize PI controller parameters. In [9], PSO was utilized to optimize the matrices  $Q$  and  $R$  of the LQR controller, and it was found that the result that this optimization method gives is the best value to enable a system to work with high performance. In [10], LQR and PID controller were adjusted using PSO for two-tank system with the result that the system with LQR tuning using PSO has better performance compared with PID tuning using PSO. In [11, 12], improved SFLA was investigated to optimize PID controller parameters.

In this paper, DC-DC X-converter was designed and simulated in MATLAB environments. The LQR controller with integral action (LQI) was developed for current and voltage DC-DC X-converter. Furthermore, the SSFLA is extended to develop ESFLA for solving the optimization problem to find out the semi-optimal value of weighting matrices  $R$  and  $Q$  of the system inner current loop and to tune gain parameters of the system outer output voltage loop. In addition, SSFLA was used to tune inner and outer loops of the system. Finally, the comparisons between these approaches were investigated in terms of system stability and performance.

The remainder of this paper is organized as follows: Section 2 establishes the mathematical model of DC-DC X converter. The theoretical basics of the LQI controlling method and the standard SFL algorithm are presented in Section 3. Section 4 describes the proposed enhanced SFL algorithm. The tuning procedure of LQI controller and the proposed objective function are described in Section 5. Section 6 provides the simulation results and discussions. Finally, Section 7 concludes the paper.

## 2. DC-DC X-Converter Model

X-Converter circuit has four passive components: two inductors and two capacitors. Furthermore, it contains switching power MOSFET device and one diode. Output voltage can be higher or lower than input voltage. Output voltage is inverted not as in boost or buck device converter, and this modified converter can be used in different applications. Circuit Simulink with all current components is shown in Fig. 1.

Figure 1 shows that there are two state operations of X-converter: when the switch is on,  $L_1$  will charge current and  $C_1$  will discharge current via  $L_2$ . In the second state of operation,

when the switch is off,  $C_1$  will charge current through conducting diode from  $L_1$  and  $L_2$ .  $C_2$  is the filter capacitor and  $R$  is the load resistance.

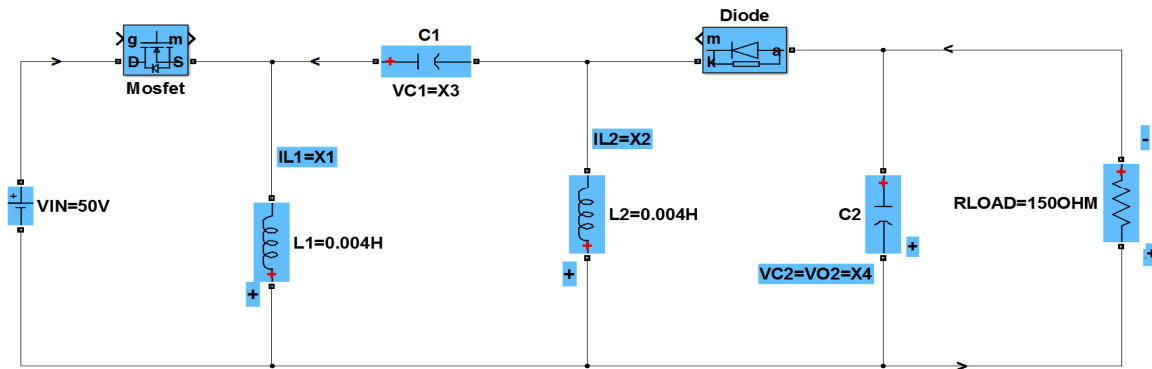


Figure 1: Simulink drawing of DC-DC X-converter

The mathematical model of the DC-DC X-converter is derived using state space approach. The system has two inductors, two capacitors, resistive load, input voltage and two power devices, which are MOSFET and Diode. This converter has four state variables, which are  $x_1$  for  $i_{L1}$ ,  $x_2$  for  $i_{L2}$ ,  $x_3$  for  $v_{c1}$  and  $x_4$  for  $v_{o2}$ . State space and output equations of the system are described below [2].

The current  $i_{L1}$  is that of the first inductor,  $i_{L2}$  is the current of the second inductor, which represents the load current,  $v_{c1}$  is the voltage of the first capacitor and  $v_{o2}$  is the output voltage of the second capacitor. The system parameters are shown in Table 1.

$$\dot{X} = \begin{bmatrix} 0 & 0 & \frac{D-1}{L_1} & \frac{D-1}{L_1} \\ 0 & 0 & \frac{D}{L_2} & \frac{D-1}{L_2} \\ \frac{1-D}{C_1} & \frac{-D}{C_1} & 0 & 0 \\ \frac{1-D}{C_2} & \frac{1-D}{C_2} & 0 & -\frac{1}{R C_2} \end{bmatrix} X + \begin{bmatrix} \frac{1}{L_1} \\ 0 \\ 0 \\ 0 \end{bmatrix} U \quad (1)$$

$$Y = [0 \quad 1 \quad 0 \quad 1] X$$

Table 1: DC –DC X converter's parameters

Parameters	Values
$L_2$ and $L_1$	0.004 H
$C_1$	1uF
$C_2$	47 uF
$D$ =duty cycle	0.75
$F$ switching	150 KHZ
$R_{Load}$	150 $\Omega$
$V_o$	150 V
$V_i$	50 V
Power	150 W
MOSFET Power device	0.1 $\Omega$

The responses of load current and output voltage without controlling action are depicted in Fig. 2.

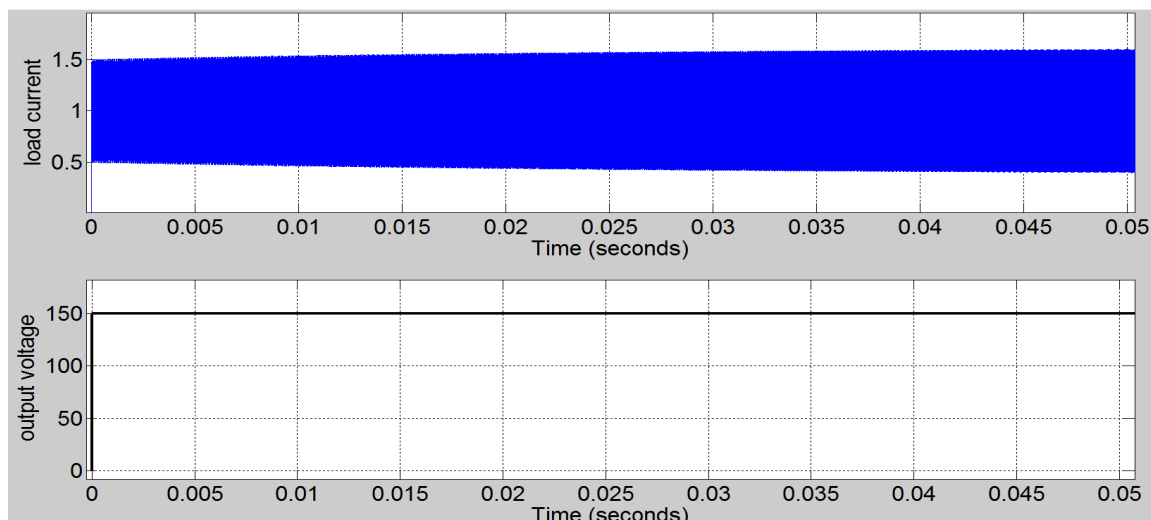


Figure 2: Load current and output voltage of DC-DC X-converter without controller

The results presented in Fig. 2 show that the current system without controller is unstable, with an oscillatory response during the transient period, and did not reach the steady-state region. Load current oscillations can be reduced rendering the system operation very fast by introducing the LQR controller with integral action as well as tuning its parameters using standard and enhanced optimization algorithms. Therefore, DC - DC X-converter should operate in a stable region under all disturbances that occur due to input voltage variation and load change.

### 3. Theoretical Basics

#### 3.1. Controlling Methods

##### 3.1.1. LQR with Integral (LQI) Action

The state and output equations for a controllable linear time-invariant (LTI) system can be written as follows,

$$\left. \begin{aligned} \dot{X} &= A X + B U \\ y &= C X \end{aligned} \right\} \quad (2)$$

The conventional LQR design problem is to minimize the following quadratic performance index, LQR methodology attempts to balance between a faster response and a low control effort [13]. The goal behind placing an integrator action into the controller is to eliminate the static error between the control reference and the controlled variable.

$$J = \frac{1}{2} \int_0^{\infty} (X^T Q X + U^T R U) dt \quad (3)$$

In this method, a feedback and integral action gain matrix are designed, which minimize the performance index in order to achieve a compromise solution between the use of control effort, the magnitude, and the speed of the response that will guarantee a stable system. The

quadratic optimal controller aims to find a gain matrix  $K$  for the optimal control vector, so that objective function is minimized.

The linear optimal control input for LQR with integral action is,

$$u^* = -K_f X + K_i Z = -K \hat{X}(t)^* = -R^{-1}B^T S \hat{X}(t)^* \quad (4)$$

where,  $K = R^{-1}B^T S$ .

The control law indicated in Eq. (4) minimizes the performance index stated in Eq. (3) where  $X$  is an  $n$ -dimensional state-space vector,  $u$  is an  $m$ -dimensional control input vector,  $y$  is a  $p$ -dimensional output vector,  $R \in \mathfrak{R}^{m \times m}$  is the square positive definite matrix and  $Q \in \mathfrak{R}^{n \times n}$  is a positive semi-definite matrix,  $Z(t)$  is a new state due to adding integral action,  $K_f$  is the inner loop state-feedback gain vector,  $K_i$  is the outer loop (forward) integral action error gain,  $K$  is the linear optimal feedback and integral gain vector, and  $S$  is the solution of matrix differential Riccati equation.

Substituting Eq. (4) in (2) yields,

$$\dot{X} = A X + B (-K_f X + K_i Z) = (A - B K_f)X + (B K_i)Z \quad (5)$$

$$\text{and } \dot{Z} = r - y = r - C X \quad (6)$$

Finally, the overall system with LQR plus integral action representation is as follows,

$$\begin{bmatrix} \dot{X} \\ \dot{Z} \end{bmatrix} = \begin{bmatrix} A - B K_f & B K_i \\ -C & 0 \end{bmatrix} \begin{bmatrix} X \\ Z \end{bmatrix} + \begin{bmatrix} 0 \\ 1 \end{bmatrix} r$$

$$\text{or, } \hat{X} = \hat{A} \hat{X} + \hat{B} r \quad (7)$$

$$y = [C \ 0] \begin{bmatrix} X \\ Z \end{bmatrix}$$

$$\text{or, } \hat{y} = \hat{C} \hat{X} \quad (8)$$

The matrix algebraic Riccati equation is represented as follows,

$$S\hat{A} + \hat{A}^T S - S\hat{B} R^{-1}\hat{B}^T S + Q = 0 \quad (9)$$

The matrix algebraic Riccati equation solution is unique, symmetric and positive semi-definite. The weighting matrices  $Q$  and  $R$  play an important role in overall system performance, thus they should be chosen appropriately [14]. Basically, the matrix  $Q$  modifies the distribution of the control effect over the states, while the matrix  $R$  modifies the aggressiveness of the control input [15].

In the process of obtaining the parameters of the LQR with integral action, the trial-and-error scheme has been widely used. Generally, this technique presents satisfactory results after troublesome and time-consuming trials, but it cannot be known whether the achieved results are the global best or not. On the other hand, several classical and swarm based optimization algorithms have been used to find out the parameters of the controller. Although the optimization algorithms increase the computational load, they almost guarantee the semi-optimal results in the specified parameters range [15].

The important two parameters that guide the behavior of LQR controller and influence its performance are as aforesaid: state weighting matrix  $Q$  and control weighting value  $R$ . Therefore, using an intelligent optimization method for finding  $Q$  and  $R$  is more effective. In this paper, standard SFL and the proposed enhanced SFL algorithms are utilized as an optimization tool.

### 3.1.2. Inner and Outer Loops Controllers

Controller of power electronics DC-DC converter is very important. In order to let output voltage of DC-DC Converter work with high efficiency and acceptable performance, a controlling scheme for load current is required. Therefore, the first controller inserted in the system is the current controller, which is the inner loop as illustrated in Fig. 3, while the second controller that is embedded in the system is the output voltage controller, which is the outer loop as demonstrated in Fig. 4.

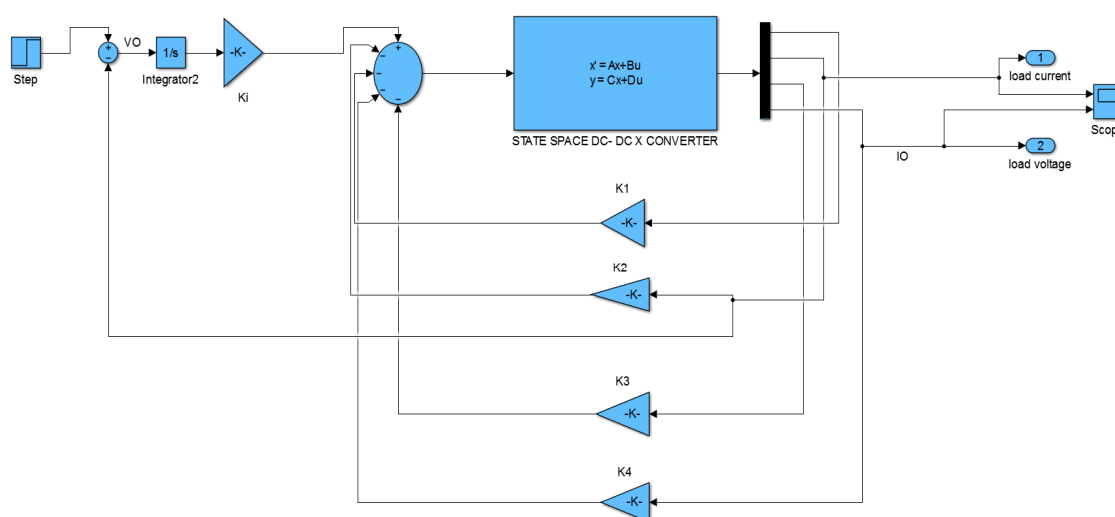


Figure 3: Inner loop LQR with integral action controller for load current of DC -DC X converter

In the inner loop controller illustrated in Fig. 3, five parameters of the inner loop current control were designed using LQR controller with integral action. These parameters are  $k_1, k_2, k_3, k_4$  and  $k_I$ ; where  $k_1$  is for state  $x_1$ ,  $k_2$  for state  $x_2$  which is load current,  $k_3$  for state  $x_3$ ,  $k_4$  for state  $x_4$  which is output voltage and  $k_I$  is integral action gain parameter.

Regarding Fig. 4, the outer loop of LQR with integral action was designed to get a system output voltage with stable characteristic and that attains the steady state very fast. The controller gain parameters in this figure are the same as in the inner loop controlling scheme, but there is another gain  $k_5$  that is the current steady state, which is represented by  $x_5$ .

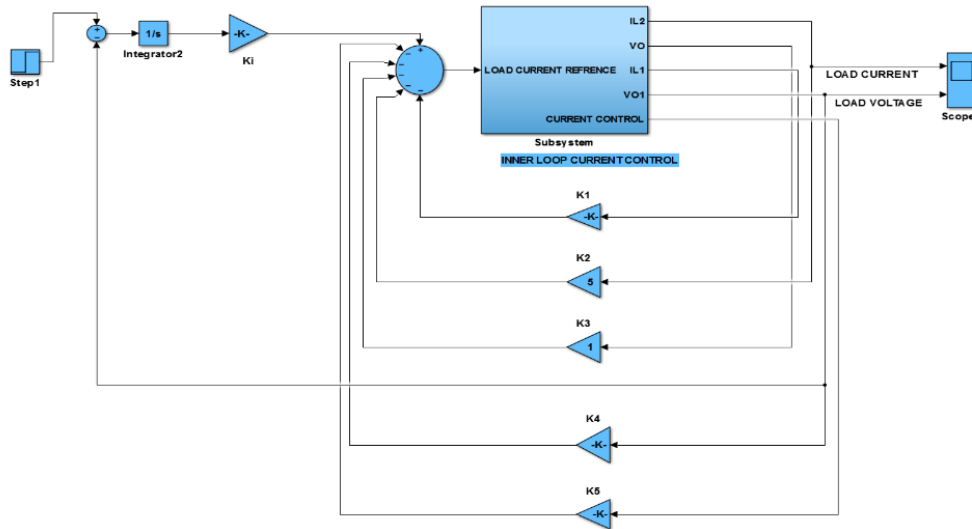


Figure 4: Outer loop LQR with integral action controller for output voltage of DC -DC X converter

### 3.2. Standard Shuffled Frog-Leaping Algorithm (SSFLA)

Standard Shuffled Frog-Leaping Algorithm (SSFLA) is a metaheuristic approach inspired by the frogs system proposed by M. M. Eusuff and K. E. Lansey in 2003 [16]. Furthermore, the discrete version of the SSFLA was invented by M. M. Eusuff et al. in 2006 for solving discrete optimization problems [17]. It is developed to seek a solution of a complex optimization problem by doing local and global search techniques.

SFLA consists of random frogs called population, which are subdivided into small parts called memplexes. The utilized algorithm has two search processes, namely, local and global search to find out the optima of the considered application. The main merit of SFLA is its fast convergence speed [18].

The distributions of frogs upon memplexes are done as follows; the first frog goes to the first memplex, the second frog goes to the second memplex, the  $N_m$ th frog goes to the  $N_m$ th memplex, and the  $(N_m + 1)$ th frog goes back to the first memplex and the process continues until the distribution of total number of frogs  $N_f$  is accomplished.

**The main steps of SSFL algorithm are summarized below [19]:**

*Step 1 (Initial parameter settings):* Initialize the frog population size ( $N_f$ ), the memplexes size ( $N_m$ ), the number of frogs per memplex ( $N_n$ ), the number of iterations per memplex ( $T_m$ ) and the maximum number of global iterations ( $T_g$ ).

*Step 2 (Generate frog position randomly):* Initially generate the random position of individual frogs.

*Step 3 (Sort and distribute the frog population):* Determine the fitness of each frog according to the proposed performance index. Thereafter, sort them in descending order and distribute them on the  $N_m$  memplexes. Finally, find out the global best position  $X_g$  in the population, the local best  $X_b$  and the worst  $X_w$  for each memplex.



*Step 4 (Local search):* Within each memplex, record the frogs with best position  $X_b$  and worst position  $X_w$ . Apply the following equations to improve the worst frog position using local leaping step size ( $S$ ).

$$S^{k+1} = \begin{cases} \text{Min} \{ \text{int}[r^k(X_b^k - X_w^k)]. S_{max} \}, & \text{if } X_b^k \geq X_w^k \\ \text{Max} \{ \text{int}[r^k(X_b^k - X_w^k)]. -S_{max} \}, & \text{if } X_b^k < X_w^k \end{cases} \quad (10)$$

$$X_w^{k+1} = X_w^k + S^{k+1} \quad (11)$$

where,  $X_b$  denotes the best position;  $X_w$  stand for the worst position in submemplex;  $S_{max}$  denotes the maximum step size "leaping";  $r$  is a random number between 0 & 1;  $k$  denotes the current iteration number.

If this step "leaping" does not enhance the performance of the worst position, the step distance will be recalculated by using the global best frog  $X_g$  instead of  $X_b$ . Finally, if the update does not improve  $X_w^{k+1}$  then,

$$X_w^{k+1} = \text{randomly-generated position} \quad (12)$$

Setting  $S_{max}$  to a small value reduces the global exploration and tends the SSFLA to local search. On the other hand, a large value of  $S_{max}$  may result in missing the actual optima. A preliminary study was carried out for different step size values, and it was found that the success rate of achieving optimal solution was highest at 100 % of the variable range [16, 17, 20].

*Step 5 (Memplexes shuffling):* After a defined number of memplex evolution stages ( $T_m$ ), the different memplexes are shuffled by merging all the frogs in each memplex to form the population again.

*Step 6 (Termination condition):* If the stopping criterion is satisfied, stop. Otherwise, go to *Step 3* until the maximum number of global iterations ( $T_g$ ) reached.

*Step 7 (Optimum solution):* Collect the best solution.

#### 4. Proposed Enhanced Shuffled Frog-Leaping Algorithm (ESFLA)

There are two shortcomings that are usually associated with SSFL algorithm. The first one is that the definition of SFLA tends to be stuck in local minima if the algorithm parameters are not selected well in order to get global minima. The second one is that the SSFL algorithm performs well in exploitation but badly in exploration. This paper proposes an ESFLA to overcome these demerits through introducing several enhancements to achieve a balance between exploitation and exploration as detailed below:

##### A. Enhancing the update strategy

Instead of using  $r^k = \text{rand}(0.1)$  in *Step 4 (local search)* of the main steps procedure in Section 3.2, which is highly probable that it will stick into local optima, enhanced update strategy is proposed by using:

$$r^k = r_o \exp\left(\frac{-\alpha_d k}{T}\right). \quad k=1,2,\dots,T \quad (13)$$



where,

$r_o$  : is the initial value.

$\alpha_d$ : is the descending coefficient (selected based on several experiments to suit the considered problem).

### B. Enhancing local exploration

To assist the local exploration in *Step 4 (local search)* of the main steps procedure in Section 3.2, the following equation was used to improve the worst frog position in place of Eq. (11).

$$X_w^{k+1} = w^k X_w^k + C^k S^{k+1} \quad (14)$$

where,

$w^k$ : is the inertia weight which is assigned a large value at the initialization step and then linearly decreased from 0.9 to 0.1 to enhance the local exploration [21].

$$w^k = (w_{min} - w_{max}) \frac{k}{T} + w_{max} \frac{(f_w^k - f_b^k)}{(f_w^k - f_g)} \quad (15)$$

$C^k$ : is a search learning coefficient, a constant greater than 1, and not more than 3, because a large value will lead to premature convergence and prevent unwanted local minima [22].

$$C^k = C_{min} + (C_{max} - C_{min}) \sin\left(\frac{\pi k}{2T}\right) \quad (16)$$

### C. Adaptive position selection of worst frog

The following equation is developed to be used in *Step 4 (local search)* of the main steps procedure in Section 3.2, to find the new position of the worst frog in case no improvement is obtained by using  $X_b^k$  or  $X_g$  instead of generation of worst frog position randomly,

$$X_{aw}^{k+1} = w^k X_w^k + r^k (f_w^k - f_b^k) + r^k (f_w^k - f_g) \quad (17)$$

where,

$X_{aw}$  : denotes the adaptive worst position in submemplex.

$f_w$  : The objective function for the worst frog in submemplex.

$f_b$  : The best value of objective function.

$f_g$  : The global best value of objective function for the whole swarm.

## 5. Tuning of Controlling Methods

### 5.1. LQI Controller Tuning

In this research work, an SSFLA and ESFLA are developed in order to determine the elements of  $Q$  matrix and  $R$  value to attain the satisfactory closed loop response via LQI controller. The assumption criterion of the elements of  $Q$  matrix and  $R$  value is as follows:

$$\left. \begin{array}{l} Q = \text{diag} \{q_1, \dots, q_{n+1}\} \\ R = \text{scalar value} \end{array} \right\} \quad (18)$$

**The design procedure for finding LQI gains vector:**

1. Check the controllability for the system model
2. Assume the initial values for matrix  $Q$  and  $R$  as,

$$Q = \begin{bmatrix} q_1 & 0 & 0 & 0 & 0 \\ 0 & q_2 & 0 & 0 & 0 \\ 0 & 0 & q_3 & 0 & 0 \\ 0 & 0 & 0 & q_4 & 0 \\ 0 & 0 & 0 & 0 & q_5 \end{bmatrix} \& R = [ R_0 ] \quad (19)$$

The lower bound of  $Q$  matrix diagonal = [0.1 0.1 0.1 1 100]

The upper bound of  $Q$  matrix diagonal = [10 10 10 100 10000]

The range for  $R$  value is from 0.001 to 1.

3. Solve the algebraic matrix Riccati equation and determine the matrix  $S$ .

4. Find the optimal control input  $u^*$  and then calculate the linear optimal feedback and integral gain vector as follows,

$$K = [-k_1 \quad -k_2 \quad -k_3 \quad -k_4 \quad k_I]$$

5. Find the overall system state space model ( $\hat{A}$  ,  $\hat{B}$  &  $\hat{C}$  ).

6. Determine the system response and therefore obtain the time and frequency domain specifications.

7. Apply the objective function.

8. Go to step 2 to select a new set of values for matrix  $Q$  and  $R$  and repeat the steps using SSFLA or ESFLA, till you find the minimum objective function and thereafter collect the linear optimal feedback and integral gain vector to obtain the state-variable feedback (SVFB) control input with integral action.

## 5.2. Formulation of the Proposed Objective Function

The objective function can be represented as,

$$f_k = w_1 (E_{ss}) + w_2 (P.O) + w_3 (t_s) + w_4 (t_r) \quad (20)$$

where  $w_1 + w_2 + w_3 + w_4 = 1$

In order to get the optimal values for all time-domain specifications, the weights are assumed to be  $w_1 = w_2 = w_3 = w_4 = 0.25$ .

## 6. Simulation Results and Discussions

### 6.1. Simulation Parameters' Settings

The simulation results are collected based on MATLAB R2014a tool installed on a laptop having 64 bits Windows 7 operating system, Intel Core i5-4210 U (2.4 GHz) CPU, and 6 GB RAM.

The total number of frogs ( $N_f$ ) is fixed to 24 with 4 memplexes ( $N_m$ ) and 6 frogs in each memplex ( $N_n$ ). 15 iterations are performed per memplex ( $T_m$ ) and 100 generations are utilized as a maximum number of global iterations ( $T_g$ ). The internal parameters of the SSFLA and ESFLA are assigned as follows; leaping step size  $S_{max} = 10$ , the main step initial value  $r_o = 1$ , the descending coefficient  $\alpha_d = 1.9$ , the inertia weight range  $w_{min} = 0.1$  &  $w_{max} = 0.9$ , and the search learning coefficient range  $C_{min} = 1$  &  $C_{max} = 3$ .

### 6.2. Benchmark Test Functions Details

There are many optimization algorithms claiming predominance over other techniques. Hence, to specify the most reliable methods, benchmark test functions can be used as an

indicator to check their effectiveness. The performance of the proposed ESFL algorithm has been examined based on 10 test functions [23, 24]. The selected benchmark functions illustrated in Table 2, have different characteristics such as; multimodality, unimodality, non-separable and separable to explore the exploitation and exploration capabilities.

Table 2: Benchmark test functions' used in proposed algorithm's verification

No.	Function Name	Dim. (n)	Domain	Function Formulation
1	Ackley	30	[-32, 32]	$f(x) = 20 + \exp(1) - 20 \exp\left(-0.2 \sqrt{\frac{1}{n} \sum_{i=1}^n x_i^2}\right) - \exp\left(\frac{1}{n} \sum_{i=1}^n \cos(2 \pi x_i)\right)$
2	Dixon-Price	30	[-10, 10]	$f(x) = (x_1 - 1)^2 + \sum_{i=2}^n i (2 x_i^2 - x_{i-1})^2$
3	Extended Tridiagonal	20	[-100, 100]	$f(x) = \sum_{i=1}^{\frac{n}{2}} [(x_{2i-1} + x_{2i} - 3)^2 + (x_{2i-1} - x_{2i} + 1)^4]$
4	Perm	30	[-4, 4]	$f(x) = \sum_{k=1}^4 \left[ \sum_{i=1}^4 (i^k + 50) \{(x_i/i)^k - 1\} \right]^2$
5	Powell Second Singular	20	[-4, 5]	$f(x) = \sum_{i=1}^{n-2} [(x_{i-1} + 10 x_i)^2 + 5 (x_{i+1} - x_{i+2})^2 + (x_i - 2 x_{i+1})^4 + 10 (x_{i-1} - x_{i+2})^4]$
6	Rastrigin	30	[-5.12, 5.12]	$f(x) = \sum_{i=1}^n [x_i^2 - 10 \cos(2 \pi x_i) + 10]$
7	Rosenbrock	30	[-100, 100]	$f_1(x) = \sum_{i=1}^{n-1} [100 (x_{i+1} - x_i^2)^2 + (x_i - 1)^2]$
8	Salomon	20	[-100, 100]	$f(x) = 1 - \cos\left(2 \pi \sqrt{\frac{1}{n} \sum_{i=1}^n x_i^2}\right) + 0.1 \sqrt{\sum_{i=1}^n x_i^2}$
9	Sphere	30	[-100, 100]	$f(x) = \sum_{i=1}^n x_i^2$
10	Zakharov	20	[-5, 10]	$f(x) = \sum_{i=1}^n x_i^2 + \left(\sum_{i=1}^n 0.5 i x_i\right)^2 + \left(\sum_{i=1}^n 0.5 i x_i\right)^4$

Note: All the selected benchmark test functions with global optima ( $f(x^*) = 0$ ).

### 6.3. Proposed Algorithm Validation

The proposed ESFL algorithm has been validated using ten benchmark test functions. In order to compare the SSFLA with ESFLA for various selected test functions, the algorithms are executed in MATLAB R2014a environment. Afterward each algorithm has been run at least 30 times, with each run, the maximum number of global iterations is 100 to collect meaningful statistical results. The obtained statistical results are demonstrated in Table 3, which contains the average best (AB), the median best (MB) and the standard deviation (SD).

Table 3: Comparison of optimization results based on benchmark test functions

Function	Criteria	SSFLA	ESFLA
Ackley	AB	0.2058	5.25E-7
	MB	2.69	6.935E-6
	SD	5.23	1.24E-5
Dixon-Price	AB	6.45E-4	9.44E-5
	MB	0.4462	0.0375
	SD	0.035	0.0021
Extended Tridiagonal	AB	0.0148	0.0037
	MB	2.57	0.4401
	SD	0.3838	0.135
Perm	AB	0.0902	0.0076
	MB	0.818	0.67
	SD	2.8322	0.2233
Powell Second Singular	AB	0.0215	1.206E-6
	MB	4.157	1.114E-4
	SD	0.687	4.257E-5
Rastrigin	AB	0.0207	2.3E-4
	MB	3.272	0.0053
	SD	0.2661	0.0065
Rosenbrock	AB	0.0764	2.5E-4
	MB	6.06	0.0199
	SD	2.5	0.0091
Salomon	AB	0.07	6.303E-4
	MB	0.2148	7.567E-3
	SD	2.299	0.02
Sphere	AB	0.0334	3.866E-5
	MB	0.9655	9.87E-4
	SD	1.04	0.0012
Zakharov	AB	2.49	1.7313E-6
	MB	0.0269	8.83E-5
	SD	0.6277	5.823E-5

For all the benchmark test functions, ESFLA has outperformed on SSFLA. Furthermore, from the obtained results, the optimum solution can be found easily with high accuracy using the proposed algorithm. Finally, the enhanced SFL algorithm can meet the desired requirements with an average running time of about 1.5 s.

#### 6.4. Results and Discussion

LQI controller for load current and output voltage DC –DC X converter were designed to excite the system to work in a stable region. In addition, SSFLA was used for tuning parameters of LQI controller for load current and output voltage. Moreover, ESFLA was applied for tuning parameters of LQI controller for load current and output voltage. The results of three tuning methods for load current and load voltage as well as the comparison results are clarified below.

### 6.4.1. Results of LQR with Integral Action

The responses of the system with LQI controller for current and voltage control are shown in Fig. 5 and Fig. 6, respectively.

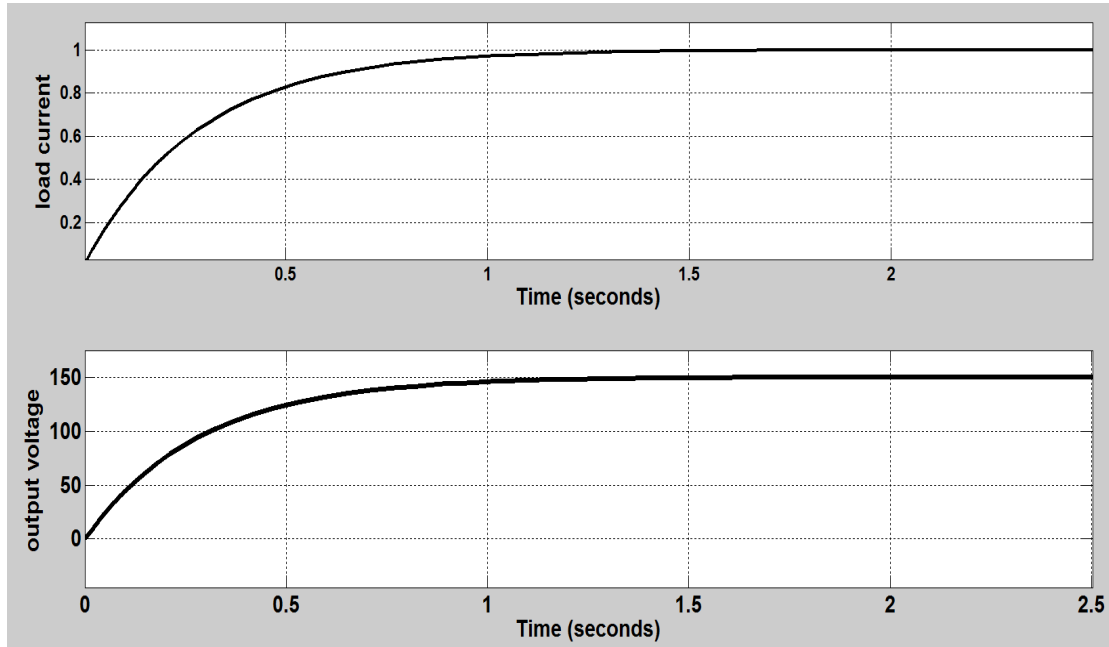


Figure 5: The response for inner loop current control scheme with LQI controller

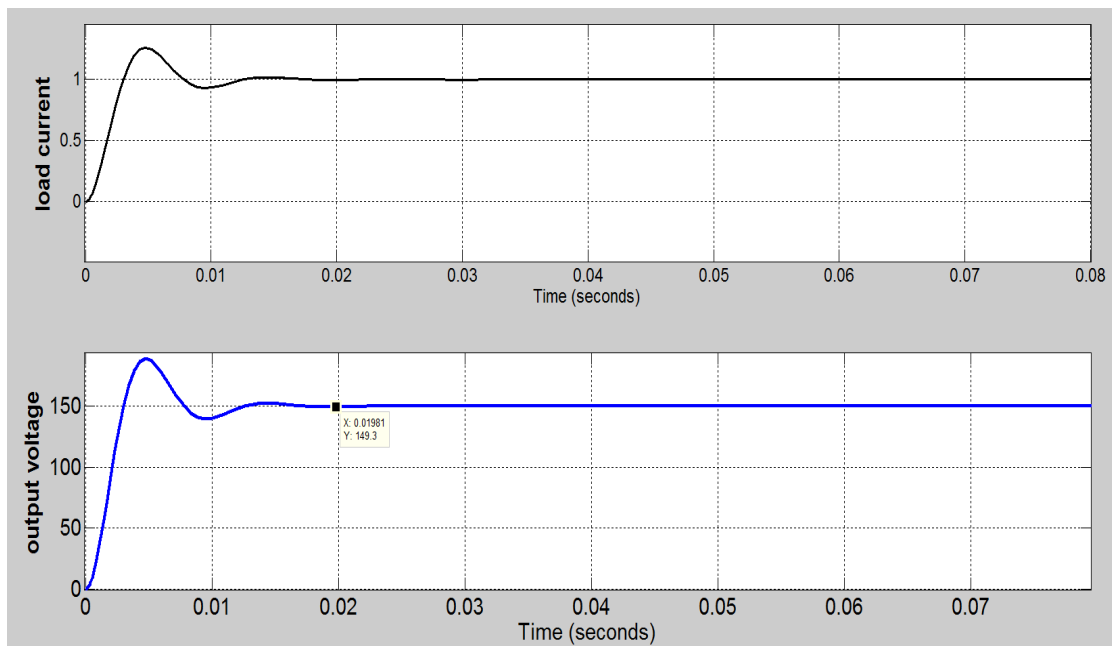


Figure 6: The response for outer loop voltage control scheme with LQI controller

In Fig. 5, it can be seen that the inner loop of the system, which is a load current control, is stable and reaches a steady state at 1.3 s. In addition, inner loop output voltage of the system without control attained the steady state as well at 1.3 s as shown in Fig. 5. That means that the system is very slow whereas the requirement is to get a very fast system response. Therefore, it is very important to let the system reach the steady state faster when achieving

control over the output voltage. In Fig. 6, the controller for output voltage of the system was made, whereby the output voltage of the system reached a steady state by 0.017 s faster than output voltage at inner loop current control. Furthermore, load current arrived at the settling point by 0.016 s faster than an inner loop current control after making controller for load voltage.

#### 6.4.2. Results of LQR with Integral Action with SSFLA

In this part, SSFL algorithm is used to tune gain parameters of LQI controller for DC – DC X converter. Therefore, the inner loop of current controller and outer loop of output voltage controller responses are shown below in Fig. 7 and Fig. 8, respectively.

In Fig. 7, the inner loop of the system, which is a load current control, has stable response and reaches the steady state at 0.18 s, while the load voltage of the system arrived at steady state slower than the load current at 0.2 s as shown in Fig. 7. Therefore, it is very important to let the system reach the steady state faster when achieving control for output voltage. In Fig. 8, also, SSFLA was used to tune gain parameters of LQI of outer loop output voltage control. It can be seen that the load voltage settled at the steady state by  $3 \times 10^{-3}$  s faster than output voltage at inner loop control for load current. Moreover, load current arrived at the settling point by  $2.6 \times 10^{-3}$  s faster than the inner loop current control after making controller for load voltage. Obviously, the load voltage of the system became faster after using SSFLA to find the semi-optimal values of LQI controller gain parameters. Moreover, the output voltage of a system with tuning gain parameters using SSFLA has arrived at steady state faster than output voltage of a system with LQI controller without an optimization algorithm.

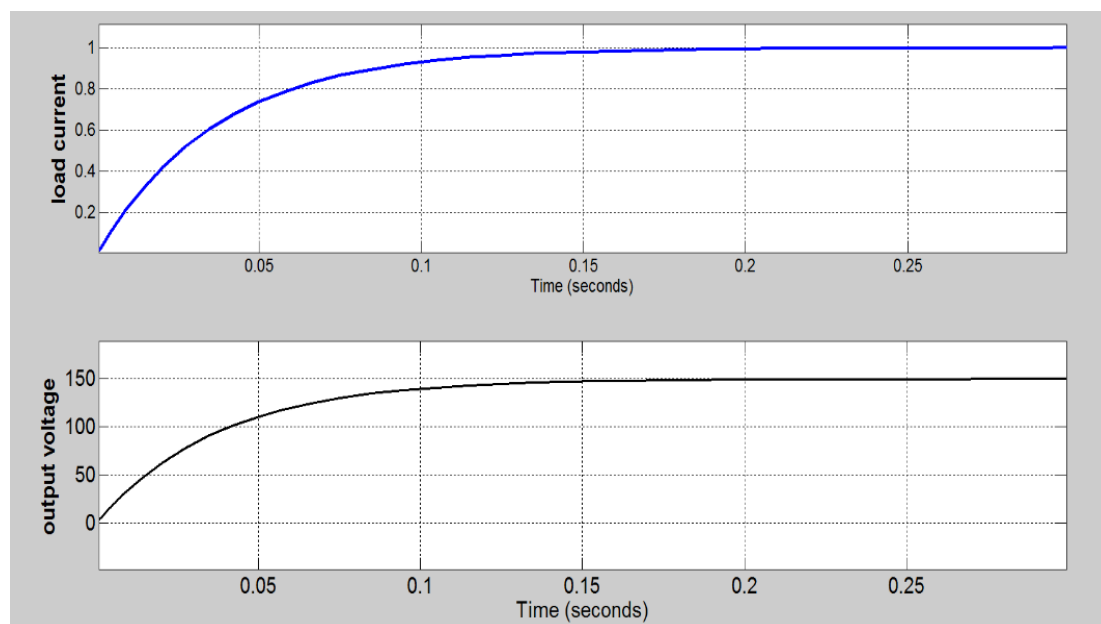


Figure 7: The response for inner loop current control scheme with LQI based on SSFLA

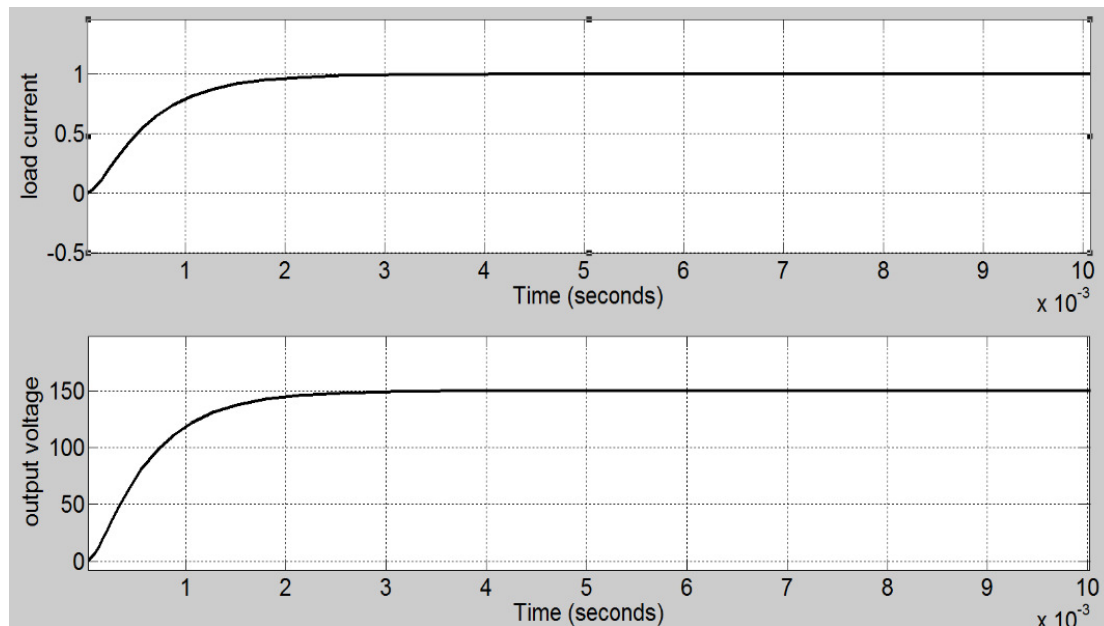


Figure 8: The response for outer loop voltage control scheme with LQI based on SSFLA

### 6.4.3. Results of LQR with Integral Action with ESFLA

The responses of the system with LQI controller based on ESFL algorithm for both current and voltage control are depicted in Fig. 9 and Fig. 10, respectively.

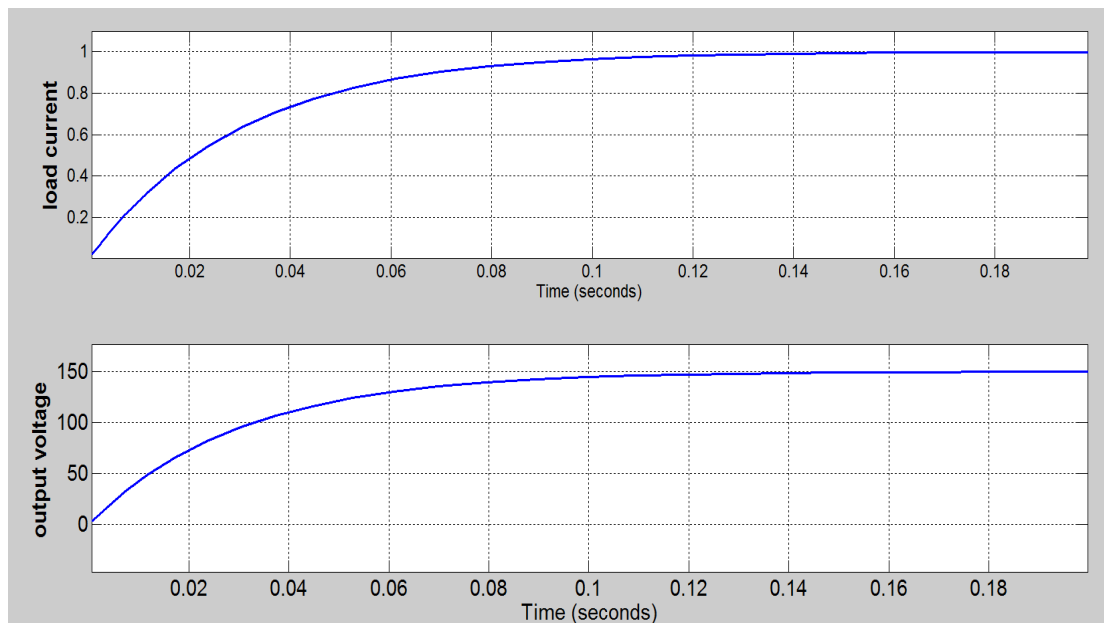


Figure 9: The response for inner loop current control scheme with LQI based on ESFLA

In Fig. 9, it can be seen that after improving SSFLA to get the optimum values of LQI gain parameters, the system load current with controller becomes stable and reaches a steady state at 0.14 s, which is faster than current control with LQI, and LQI based on SSFLA. On the other hand, the load voltage of the system arrived at the steady state at 0.15 s.



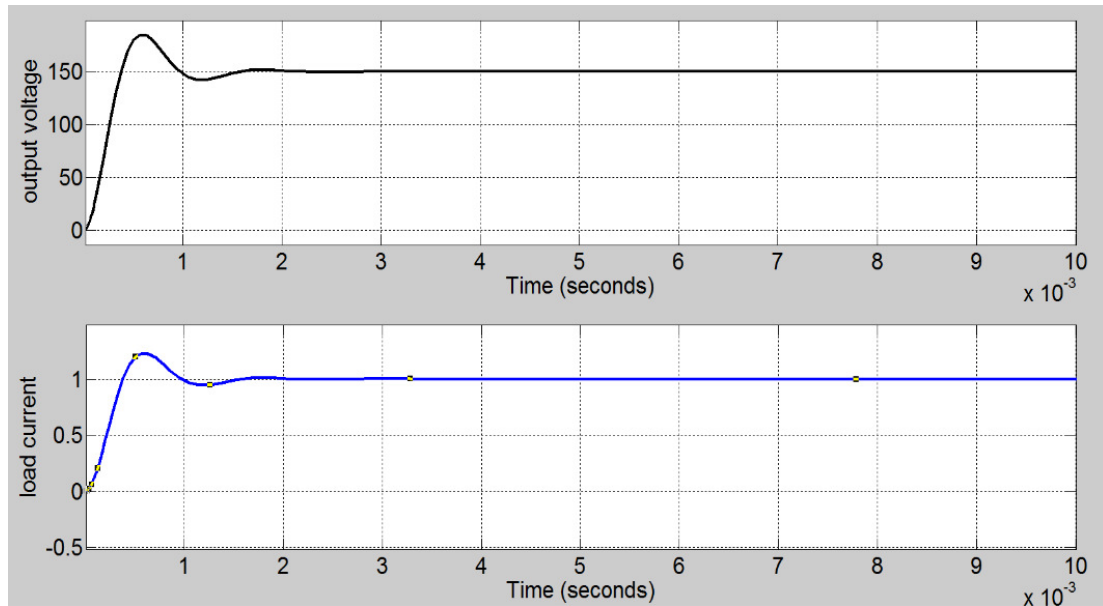


Figure 10: The response for outer loop voltage control scheme with LQI based on ESFLA

In Fig. 10, also, ESFLA was used to tune parameters of LQI controller for output voltage of the system. It is obvious that the load voltage settled at the steady state by  $2 \times 10^{-3}$  s faster than output voltage when control was made for load current. Also, load current arrived at the settling point by  $2 \times 10^{-3}$  s faster than inner loop current control after making control over load voltage. It is noticeable that the load voltage of the system became faster after using ESFLA for selecting gain parameters of LQI controller in comparison with the system with LQI controller and with LQI tuned by SSFL algorithm.

#### 6.4.4. Results Comparison

The comparison between the collected responses based on different controlling criteria is discussed with reference to Fig. 11 and Fig. 12 shown below.

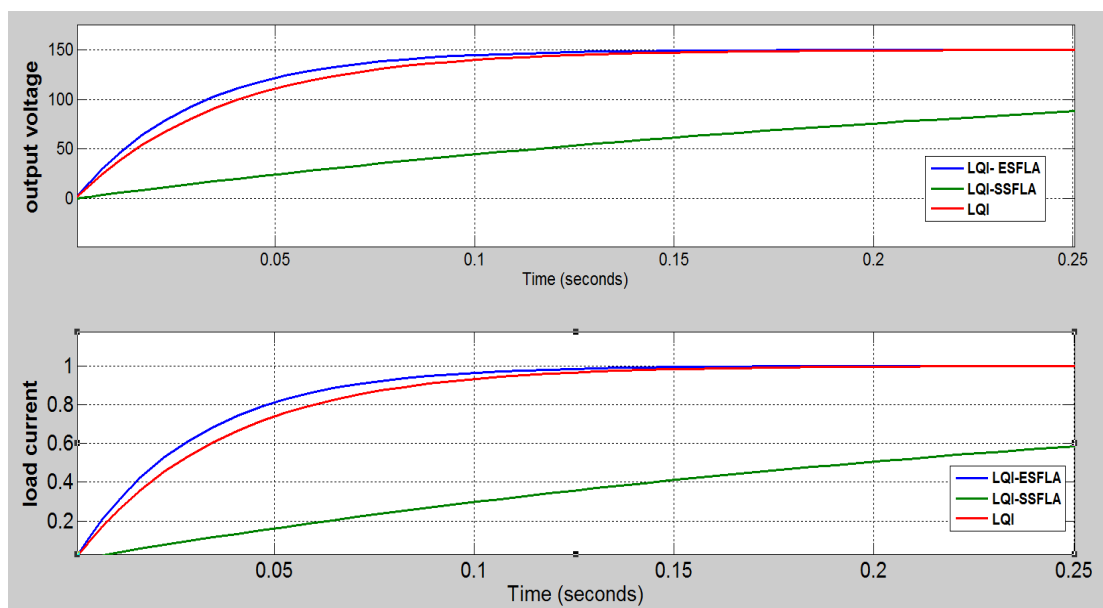


Figure 11: The response of inner loop current control based on different controlling techniques

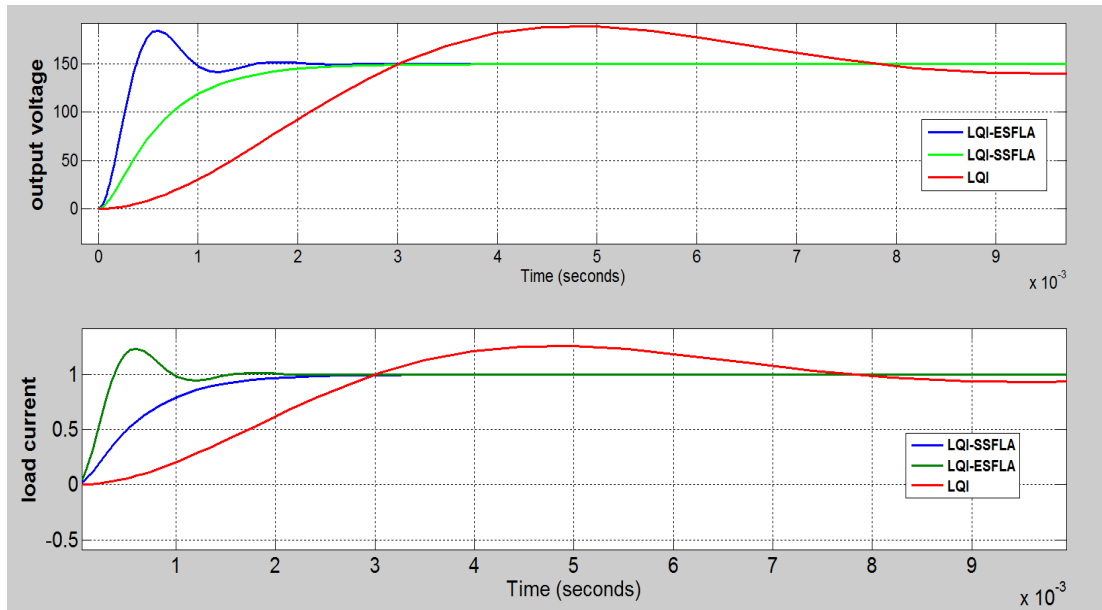


Figure 12: The response of outer loop voltage control based on different controlling techniques

In Fig. 11, it is obvious that inner loop load current using LQI-ESFLA has the best performance and the system settled at the steady state very fast as compared to the system inner loop load current using LQI and LQI-SSFLA as shown in Table 4. In Fig. 12, after implementing the load voltage controller as outer loop, the system using LQI-ESFLA arrived at the steady state faster than system outer loop using LQI-SSFLA and LQI controller. Furthermore, Table 4 shows the comparison between the three different types of tuning methods. Finally, it can be seen that LQI-ESFLA- output voltage /outer loop has arrived at the steady state by 2E-3 s faster than outer loop LQI output voltage and LQI-SSFLA- output voltage.

Table 4: Comparison of collected results for different controlling techniques with their settling time index.

Controlling techniques	Settling time
LQI –load current/ inner loop	1.3 s
LQI-output voltage/ outer loop	0.017 s
LQI-SSFLA-load current /inner loop	0.18 s
LQI-SSFLA- output voltage/ outer loop	3E-3 s
LQI-ESFLA-load current /inner loop	0.14 s
LQI-ESFLA- output voltage /outer loop	2E-3 s

## 7. Conclusions

In this research work, the problem of finding the optimum values of LQI's parameters in order to control the DC-DC X-converter has been regarded as an optimization problem. Global optimization of the weighting matrices of the LQI controller is performed based on the standard and enhanced SFL algorithms in order to achieve the best response requirements. The SSFLA has been enhanced to improve the reliability in providing the better-quality solutions with a minimum number of generations to finally avoid premature convergence. To demonstrate the efficiency of the proposed ESFL algorithm, a set of ten-benchmark test

functions with different dimensions and types are utilized and then compared with results obtained by SSFL algorithm. The collected results reveal that the proposed algorithm is more reliable, more robust and more efficient than the standard algorithm. The considered system has an unstable load current response with high oscillation property. Therefore, two controllers are designed for the system, one for current loop control (inner loop) and the second one for voltage loop control (outer loop). The LQI controller is designed as an inner and outer controller for the system. In addition, gain parameters of LQI controller are tuned using SSFLA and ESFLA. The comparisons between three types of tuning controllers of the system are investigated. The simulation results show that the outer loop output voltage with LQI controller arrived at a steady state at 0.017s and the outer loop output voltage with LQI based on SSFLA reached the steady state at 3E-3s. In addition, outer loop output voltage of the system arrived very fast to the steady state at 2E-3 s after using LQI controller based on ESFLA in comparison with outer loop output voltage of the system using LQI controller and LQI based on SSFLA. Moreover, the inner loop load current of the system arrived very fast to steady state at 0.14 s after using ESFLA for tuning of LQI gain parameters in comparison with inner loop of the system load current using LQI controller and LQI based on SSFLA.

## References

- [1] A. F. Algamluoli, "Novel Controller for DC-DC Cuk Converter," *Proceedings of 2019 1<sup>st</sup> Global Power, Energy and Communication Conference (GPECOM)*, Nevsehir, Turkey, pp. 117-121, June 12-15, 2019.
- [2] J. M. Valls, "Analysis and Synthesis of a New Converter to Complete the Class of Cúk, SEPIC and Zeta Converters," *Proceedings of 21<sup>st</sup> edition of the Annual Seminar on Automation, Industrial Electronics and Instrumentation*, Souissi, Morocco, 25-27 June, 2014.
- [3] Y.-K. Choi and B.-W. Jung, "Parameter Tuning for Buck Converters using Genetic Algorithms," *Proceedings of Third International Conference on Intelligent Computing (ICIC)*, Qingdao, China, pp. 641-647, 21-24 August, 2007.
- [4] M. R. Yousefi, S. A. Emami, S. Eshtehardiha, and M. Bayati Poudeh, "Particle Swarm Optimization and Genetic Algorithm to Optimizing the Pole Placement Controller on Cuk Converter," *Proceedings of 2<sup>nd</sup> IEEE International Conference on Power and Energy (PECon)*, Johor Baharu, Malaysia, pp.1461-1465, December 1-3, 2008.
- [5] G. Seshagiri Rao, S. Raghu and N. Rajasekaran, "Design of Feedback Controller for Boost Converter using Optimization Technique," *International Journal of Power Electronics and Drive System (IJPEDS)*, vol. 3, no. 1, pp. 117-128, 2013.
- [6] K. Hassani and W.-S. Lee, "Optimal Tuning of Linear Quadratic Regulator using Quantum Particle Swarm Optimization," *Proceedings of the International Conference of Control, Dynamic Systems, and Robotics*, Ottawa, Ontario, Canada, May 15-16, 2014.
- [7] N. Singh, S. Singh and S. B. Singh, "A New Hybrid MGBPSO-GSA Variant for Improving Function Optimization Solution in Search Space," *Evolutionary Bioinformatics*, Vol. 13. Pp. 1-13, 2017.
- [8] E. Kose, G. Muhurcu, A. Muhurcu and B. Sevim, "SFLA based PI Parameter Optimization for Optimal Controlling of a Buck Converter's Voltage," *Proceedings of International Artificial Intelligence and Data Processing Symposium (IDAP)*, Malatya, Turkey, 16-17 Sept., 2017.
- [9] M. Assahubulkahfi, Y. M. Sam, A. Maseleno and M. Huda, "LQR Tuning by Particle Swarm Optimization of Full Car Suspension System," *International Journal of Engineering & Technology*, vol. 7, no. 2.13, pp. 328-331, 2018.
- [10] N. A. Selamat, F. S. Daud, H. I. Jaafar and N. H. Shamsudin, "Comparison of LQR and PID Controller Tuning using PSO for Coupled Tank System," *Proceedings of IEEE 11th International Colloquium on Signal Processing & Its Applications (CSPA)*, Kuala Lumpur, Malaysia, 6-8 March, 2015.
- [11] T. – H. Huynh, "A Modified Shuffled Frog Leaping Algorithm for Optimal Tuning of Multivariable PID Controllers," *Proceedings of IEEE International Conference on Industrial Technology*, Chengdu, China, 21-24 April, 2008.
- [12] Y. Liu, "An Improved Shuffled Frog Leaping Algorithm to Optimize the Parameters of PID," *Proceedings of the Second International Conference on Mechatronics and Automatic Control*, pp. 559-568, 2015.

- [13] H. A. Mohammed and H. R. Wasmi, "Active Vibration Control of Cantilever Beam by Using Optimal LQR Controller," *Journal of Engineering*, vol. 24, no. 11, Nov. 2018.
- [14] S. Al-Haddad and H. Wahid, "Decoupled Integral LQR Controller with Anti-Windup Compensator for MIMO Two Rotor Aerodynamical System (TRAS)," *Journal of Engineering Science and Technology*, vol. 14, no. 3, pp. 1374-1397, 2019.
- [15] Ü. ÖNEN, A. ÇAKAN and İ. İLHAN, "Performance Comparison of Optimization Algorithm in LQR Controller Design for a Nonlinear System," *Turkish Journal of Electrical Engineering & Computer Sciences*, vol. 27, no. 3, pp. 1938-1953, 2019.
- [16] M. M. Eusuff and K. E. Lansey, "Optimization of Water Distribution Network Design using the Shuffled Frog Leaping Algorithm," *Journal of Water Resources Planning and Management (ASCE)*, vol. 129, no. 3, pp. 210-225, 2003.
- [17] M. M. Eusuff, K. E. Lansey and F. Pasha, "Shuffled Frog-Leaping Algorithm: A Memetic Metaheuristic for Discrete Optimization," *Engineering Optimization*, vol. 38, no. 2, pp. 129-154, 2006.
- [18] A. M. Dalavi, P. J. Pawar and T. P. Singh, "Tool Path Planning of Hole-Making Operations in Ejector Plate of Injection Mould using Modified Shuffled Frog Leaping Algorithm," *Journal of Computational Design and Engineering*, vol. 3, no. 3, pp. 266-273, 2016.
- [19] A. Tehami and H. Fizazi, "Unsupervised Segmentation of Image Based on Shuffled Frog-Leaping Algorithm," *Journal of Information Processing Systems*, vol. 13, no. 2, pp. 370-384, 2017.
- [20] B. Liang, Z. Zhen and J. Jiang, "Modified Shuffled Frog Leaping Algorithm Optimized Control for Air-breathing Hypersonic Flight Vehicle," *International Journal of Advanced Robotic Systems*, pp. 1-7, Nov.-Dec. 2016.
- [21] X. Nie and H. Nie, "MPPT Control Strategy of PV Based on Improved Shuffled Frog Leaping Algorithm under Complex Environments," *Journal of Control Science and Engineering*, vol. 2017, Article ID 2186420, 11 pages, 2017.
- [22] D. Mora-Melia, P. L. Iglesias-Rey, F. J. Martinez-Solano and P. Munoz-Velasco, "The Efficiency of Setting Parameters in a Modified Shuffled Frog Leaping Algorithm Applied to Optimizing Water Distribution Networks," *Water*, vol. 182, no. 8, 14 pages, 2016.
- [23] S. K. Mishra, "Performance of Differential Evolution and Particle Swarm Methods on Some Relatively Harder Multi-modal Benchmark Functions," *Munich Personal RePEc Archive*, Paper No. 1743, 2007.
- [24] M. K. Y. Shambour, "Vibrant Search Mechanism for Numerical Optimization Functions," *Journal of Information and Communication Technology*, vol. 17, no. 4, pp. 679-702, 2018.

© 2020. This work is published under  
<https://creativecommons.org/licenses/by/4.0/legalcode>(the“License”).  
Notwithstanding the ProQuest Terms and Conditions, you may use this  
content in accordance with the terms of the License.